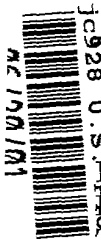


06/22/01

A



3528 U.S. PTO  
09/886625



**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 40226/DMC/B553  
Inventor(s) : Ho Yin Tang, et al.  
Title : LOW SWITCHING TEMPERATURE POLYMER POSITIVE  
TEMPERATURE COEFFICIENT DEVICE

Express Mail Label No. : EL818363767US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: June 20, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a of patent application No. .

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/213,357.

3. **APPLICATION COMPRISED OF**

**Specification**

\_\_\_ Specification, claims and Abstract (total pages)

**Drawings**

1 Sheets of formal drawing(s) (FIGS. 1)

**Declaration and Power of Attorney**

\_\_\_ Newly executed

☒ Unexecuted declaration

\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (Appendix)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

\_\_\_ Computer Readable Copy

\_\_\_ Paper Copy (identical to computer copy)

\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 40226/DMC/B553

**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900

DMC/syb

06/20/01

06-22-01

11000 U.S. PTO  
09/886625

# FEE TRANSMITTAL UTILITY PATENT APPLICATION

DATE: June 20, 2001

Docket No. : 40226/DMC/B553  
Inventor(s) : Ho Yin Tang, et al.  
Title : LOW SWITCHING TEMPERATURE POLYMER POSITIVE  
TEMPERATURE COEFFICIENT DEVICE Duplicate \_

## FEE DETERMINATION

| CLAIMS AS FILED                  |                 |                 |                      |                      |          |
|----------------------------------|-----------------|-----------------|----------------------|----------------------|----------|
|                                  | NUMBER<br>FILED | NUMBER<br>EXTRA | SMALL<br>ENTITY RATE | LARGE<br>ENTITY RATE | FEE      |
| TOTAL CLAIMS                     | 9- 20           | 0               | x \$9.00             | x \$18.00            | \$0.00   |
| INDEPENDENT CLAIMS               | 3- 3            | 0               | x \$40.00            | x \$80.00            | \$0.00   |
| MULTIPLE-DEPENDENT CLAIMS FEE    |                 |                 | \$135.00             | \$270.00             |          |
| BASIC FEE                        |                 |                 | \$355.00             | \$710.00             | \$710.00 |
| TOTAL FILING FEE                 |                 |                 |                      |                      | \$710.00 |
| List Independent Claims: 1, 5, 9 |                 |                 |                      |                      |          |

## METHOD OF PAYMENT

☒ No filing fee enclosed

☐ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. ~~A duplicate copy of this sheet is enclosed.~~

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh  
Reg. No. 41,661  
626/795-9900